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Standardized precipitation index analysis and drought frequency tendencies in lower eastern counties of Kenya

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Abstract— The standardized precipitation index (SPI) is a fundamental indicator of meteorological, hydrological, and agricultural droughts in the world. This study aims to evaluate different timescales, 3 months (SPI-3), 6 months (SPI-6), 9 months (SPI-9), and 12 months (SPI-12) indices from meteorological data in quantifying drought characterization in lower eastern counties of Kenya from 1990 to 2018 for observed data and from 1986 to 2018 for Climatic Research Unit Time Series (CRU) data. Precipitation in-situ data (annual) and high-resolution (0.5 × 0.5 degree grid) monthly-scale precipitation data were sought from Kenya Meteorological Department and CRU TS, respectively. Z-Score (SPI) was computed for each year (in-situ data) and month (CRU TS data) using the SPI algorithm, expressed as the departure from the mean in standard deviation units. Quality control of CRU TS data was done by checking outlier values and comparing the data with precipitation data obtained from the meteorological department as well as ERA5 reanalysis data. Results showed that extreme to mild drought was experienced across the Kenyan counties for both annual in-situ and monthly gridded data. Machakos county experienced a year of extreme drought, while Makueni and Taita-Taveta have had 2 and 4 years of severe droughts, respectively. The monthly SPI indices of 3, 6, 9, and 12 months showed a remarkably consistent behavioral pattern detecting extreme droughts across the counties. Considering the uncertainties, unpredictability, and shifting of the long and short rainy seasons in Kenya, results were obtained related to dry and wet episodes and to their relationship with agricultural production as well as water availability and environmental management.

Key-words: drought, Kenya, monthly scale, precipitation, SPI, CRU TS, ERA5.

1. Introduction

Drought is a complex, dynamic climatic extreme brought about by the departure of monthly to annual long-term rainfall averages (*Naumann et al.*, 2018; *AghaKouchak et al.*, 2021). It threatens a wide range of sectors from agriculture, transport, industrialization, and water resources, among others. It varies in its inception, intensity, duration, and frequency (*Masih et al.*, 2014; *Vicente-Serrano et al.*, 2014; *Azmi et al.*, 2016; *Cammalleri et al.*, 2017). Droughts have become a recurrent global phenomenon (*Sheffield and Wood*, 2011) with expected increased trends coupled with aridity. It has threatened humanity's livelihood, for instance, causing deaths, poor crop production, food insecurity, exacerbating famine in various regions, fueling malnutrition, health-related issues, and rural migration (*Masih et al.*, 2014; *Dalu et al.*, 2018; *Ault*, 2020).

Africa has experienced prolonged, widespread droughts of different magnitudes of severity, for instance, in the Sahel region in the 1970s and 1980s. The continent will be affected more severely by drought than other global regions (*Yanda and Mubaya*, 2011; *Niang et al.*, 2014; *IPCC*, 2021). For a period of over 100 years (1900–2013), 291 drought events were reported in Africa which led to the death of approximately 850,000 people, affected approximately 362.5 million people, and resulted in a continental economic loss of an estimated USD 2 billion (*Masih et al.*, 2014). On a regional scale, in East Africa, the severe drought of 2016 exposed 16 million inhabitants across Somalia, Ethiopia, and Kenya to hunger, food insecurity, and water scarcity (*Nicholson*, 2016; *Von Grebmer et al.*, 2016; *Yang and Huntingford*, 2018; *Kalisa et al.*, 2020). These droughts vary depending on anomalies in the amount of precipitation received in a region. In addition, a wide meteorological phenomena range influences its occurrence and variability in East Africa. They include monsoons, the Inter-Tropical Convergence Zone (ITCZ), subtropical anticyclones, African jet streams, easterly/westerly wave perturbations, global scale systems like the El Niño /Southern Oscillation (ENSO), and regional systems in East Africa (*Alusa and Mushi*, 1974; *Ogallo and Anyamba*, 1983). These droughts have threatened the livelihood of approximately 1.4 billion people for the last two decades and led to approximately 25,000 deaths in East Africa (*CRED*, 2020).

In Kenya, drought has remained a dominant devastating extreme climate phenomenon and recurrent hazard affecting the country's population livelihoods (*Opiyo et al.*, 2015; *Musyimi et al.*, 2018). This has a wide range of severe effects specifically in arid and semi-arid lands (ASALs). It further causes water scarcity due to hydrological imbalances, a situation exacerbated by extreme temperatures and evapotranspiration (*Shilenje et al.*, 2019). Previous studies have projected that by the year 2100, climate change is expected to increase temperatures in Kenya by approximately a maximum of 4 °C and will lead to rainfall variability by about 20% (*Awuor et al.*, 2008; *Kabubo-Mariara*, 2008; *Downing, et al.*, 2009; *Ajuang et al.*, 2016; *Maingey et al.*, 2020) having negative impact on agricultural

production, water accessibility among households in volatile ecosystems in these regions. The studies above have outlined the global, continental, and regional status and the effects of droughts. For present and future preparedness, adaptation, and mitigation of drought characterization in arid regions of Kenya is fundamental. Even though many previous studies have been carried out in Kenyan counties, few studies have compared drought tendencies among counties based on annual and different SPI scales. Thus, the present study focused on analyzing SPI as well as spatio-temporal variability of annual rainfall and drought tendencies in arid and semi-arid lands and counties of Kenya. This was done by examining the annual in-situ precipitation data as well as CRU data across lower eastern counties. This is because most uncertainties in drought characterization are driven by precipitation variation rather than temperature variation (*Borona et al.*, 2021). The study provides the basis for further research on other counties, since 89% of Kenya's total land mass (29 out of 47 counties) are classified as arid and semi-arid (*Akuja and Kandagor*, 2019) and characterized by recurring drought events.

Ayugi et al. (2018) examined factors influencing March-May rainfall variability using monthly observed and CRU TS reanalysis rainfall datasets for the period 1971–2010. *Mutsotso et al.* (2018) investigated CRU temperature data together with Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS), which is a rainfall data set from 50°S to 50°N ranging from 1981 to near-present, incorporating climatology, CHPClim, 0.05° resolution satellite imagery, and in-situ station data to create gridded rainfall time series for trend analysis and seasonal drought monitoring. *Funk et al.* (2014) merged a product composed of five satellite-based and ground weather station data to compute drought characterization. CRU TS data is gridded and based on angular distance weighting of ground weather station data from national meteorological services around the world (*Harris et al.*, 2020). *Sahoo et al.* (2015) applied the Tropical Rainfall Measuring Mission (TRMM) and CRU to characterize meteorological droughts at a large scale and established that CRU data indicated severe drought for SPI-6 for the year 2006. *Assamnew and Mengistu* (2022) also applied CRU-TS as a reference observed data when they assess ERA5 performance in East Africa with European Center for Medium-Range Weather Forecasting (ECMWF). This indicates the wide use of various climate datasets for various climatological investigations in Kenya and East Africa at large. There has been growing interest in the recent developments of drought events in Kenyan counties. Kenya has had extreme drought events, whose spatial and temporal variability has not been understood, especially at regional and sub-regional scales. None of the studies compared various annual drought severity frequencies on a monthly scale and annual scale using different datasets hence this study. Therefore, besides examining the uncertainty of drought characterization using different datasets, our investigations fill the gap of differences experienced from various drought severity frequencies on monthly and annual scales for different datasets, which are imperative for long-term and short-term agricultural activities and associated effects.

2. Material and methods

2.1. Climate characterisation within the selected counties

The investigated area was the lower part of Eastern Kenya. It comprises Machakos, Taita-Taveta, and Makueni counties (*Fig. 1*). Machakos county falls under arid and semi-arid climates. It has an elevation range from 400 m to 2100 m above sea level (*Huho, 2017*). Taita-Taveta county is semi-humid to semi-arid with a mean annual rainfall of 650 mm and average temperature of 23 °C. Makueni county is arid and semi-arid, characterized by severe water scarcity, food insecurity, and low adaptive capacity and resilience to climate change and variability (*Muema et al., 2018*). Rainfall ranges from 800 mm to 1200 mm, while the low-lying areas receive a range of 150 mm to 650 mm per year. The data set used by this study comprised of in-situ annual precipitation amount for a period of 29 years (1990–2018) and monthly precipitation data for the three counties from the Climatic Research Unit Time-series (CRU TS), CRU TS 3.25 datasets for the period 1986–2016 (31 years) (*Harris and Jones, 2017*). It was sought from Machakos, Voi, and Makindu meteorological stations of the said counties, respectively. The CRU TS dataset was quality controlled by checking various annual precipitation amounts and comparing the values with observed measured datasets from the weather stations obtained from the Kenya Meteorological Department.

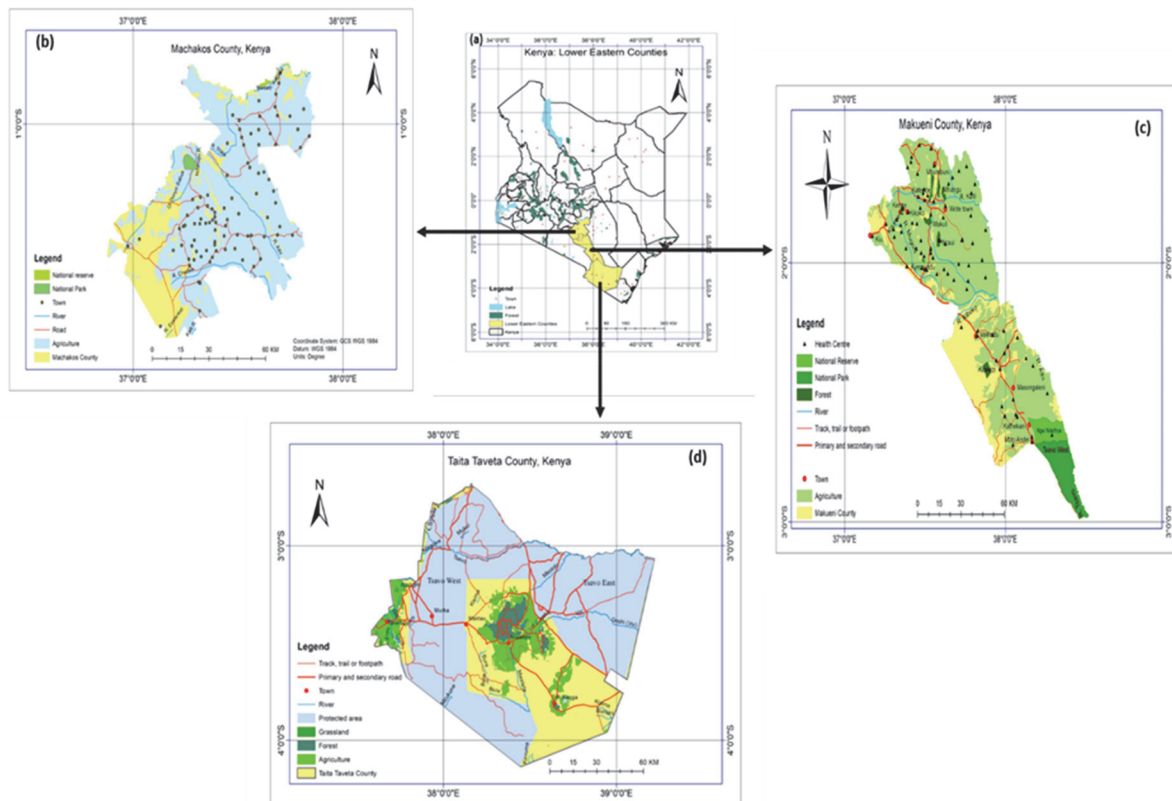


Fig. 1. Map of Kenya with the lower eastern counties under study (a), Machakos County (b), Makueni County (c), Taita-Taveta county (d).

2.2. Quality control, normality, and significance tests of the datasets

Quality control of CRU TS data (Harris et al., 2020) and ERA5 reanalysis data (climate reanalysis produced by ECMWF, Hersbach et al. (2020) was done by checking and comparing their consistency with observed in-situ data from the meteorological stations operated in Kenya. This is because most weather station time series data is quality controlled by the Kenya Meteorological Department. Few outlier (<5%) cases observed in the CRU TS dataset were harmonized based on the observed value from the meteorological station. This was to exclude their abnormality and the impacts associated with it. The quality control of gridded data differed from that of in-situ data, and therefore, CRU TS datasets underwent extensive manual quality control measures for consistency. We repeated the quality control on the ERA5 reanalysis dataset, even though there were no outlier values observed from the three stations, and compared the normality with other datasets using Shapiro and Anderson tests (Ghasemi and Zahediasl, 2012). Similar comparisons were done by Vanella et al. (2022) in Italy between ERA5 and ground-based agrometeorological observations and demonstrated the potential of using ERA5 reanalysis data. Further, the precipitation time series (Fig. 2) of the data sets were done for comparison purposes and depicted similarity in the patterns throughout the period for the three stations with an insignificant variation. The year 2005 was a drought year in Kenya as shown in Figure 2. The peak of rainfall amount in the year 2006 was as a result of 2006/2007 El Niño events which occurred throughout Kenya even though low amount of rain was received in Makindu meteorological station as compared to the other stations. Machakos weather station had annual mean precipitation of 679 ± 198 mm, 823 ± 169 mm, and 674 ± 175 mm for in-situ, ERA5, and CRU TS gridded data, respectively, while Voi weather station showed annual precipitation mean of 568 ± 190 mm, 590 ± 140 mm, 742 ± 192 mm for in-situ, ERA5, and CRU TS gridded data, respectively. Makindu weather station recorded mean annual precipitation of 521 ± 192 mm, 631 ± 162 mm, and 654 ± 177 mm for in-situ, ERA5, and CRU TS gridded data, respectively. ERA5 and reanalysis datasets were obtained freely from the Climate Change Service Copernicus platform (<https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset>). In addition to the quality control, we carried out a normality test using Shapiro and Anderson tests of each dataset, which demonstrated that the datasets from the three stations were normal, since all the p-values from the three datasets and the three stations were $p > 0.05$. An analysis of variance (ANOVA) on these datasets again yielded significant variation between datasets, for example in the Machakos weather station the F-value (variation between means/variation within the data sets) was 6.24 and the p-value was 0.003. In the Makindu weather station, the F-value was 4.56 with a p-value of 0.013 while the Voi weather station's F value was 8.15 and the p-value was 0.006. All the three p-values from the three datasets and three stations were less than 0.05, hence we concluded that there were statistical differences between the means of the three datasets.

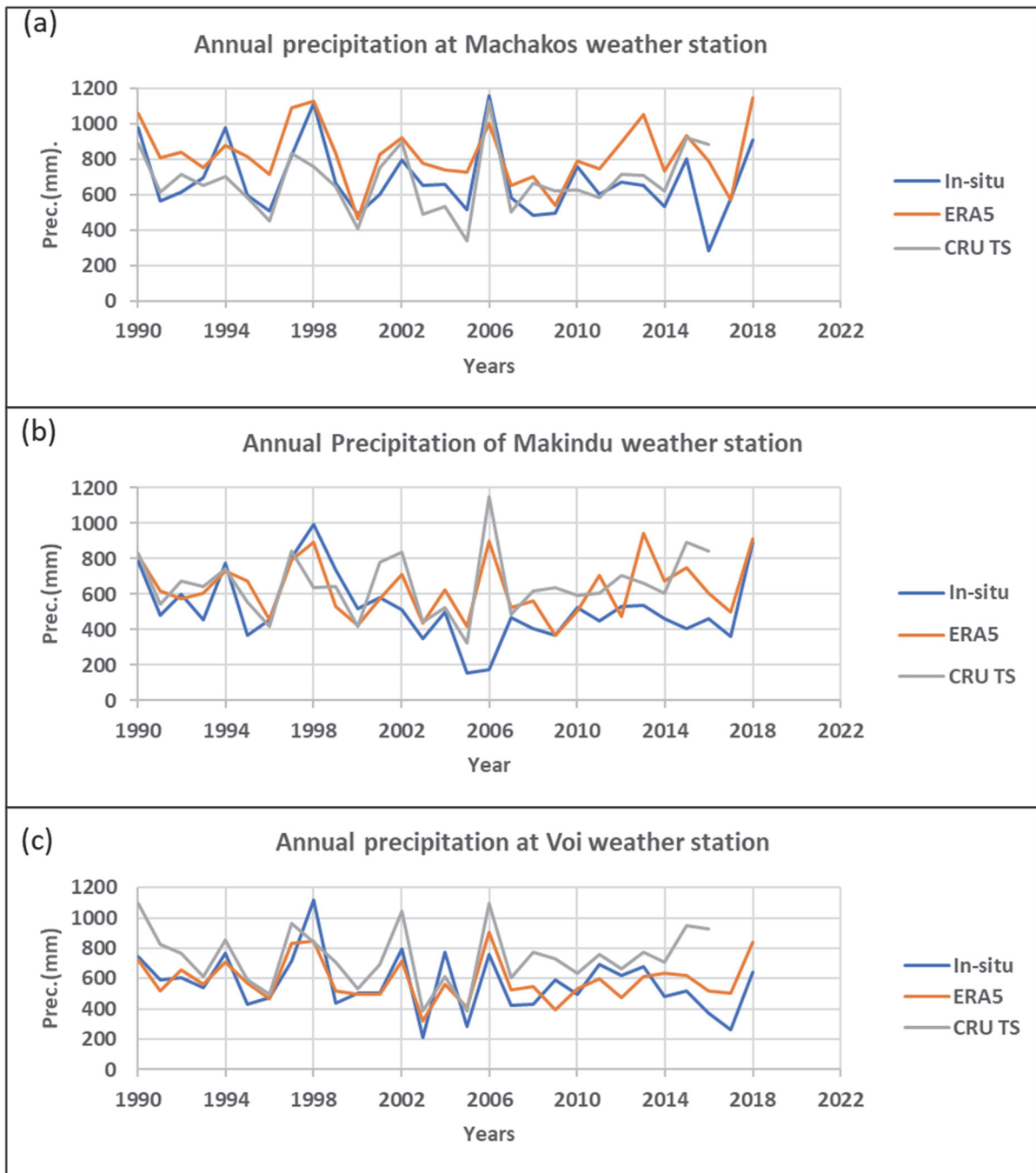


Fig. 2. Precipitation time series of in-situ, ERA5, CRU TS for (a) Machakos weather station, (b) Makindu weather station, (c) Voi weather station.

3. Methodology

The climatic parameter used for the study was the annual rainfall amount. The first set of data was obtained from three meteorological stations namely Machakos, Voi, and Makindu from three counties namely Machakos, Taita-Taveta, and Makueni, respectively. The three sets of observed rainfall data were for a period of 29 years (1990–2018), as it was the available data at the time of

acquisition from the Kenya Meteorological Department. The data was used to compute annual distribution and annual variations among the counties for the different years. Further, a time series analysis was done to show the trend among different years in the counties. Ranking of the rainfall data per station was done and a serialised rank number (r) ranging from 1 to n (number of observations) given (*WMO*, 1983). Rainfall data were used to compute annual totals and variations among the stations as well as probability exceedance (Eq.(1)) (*WMO*, 1983; *Raes*, 2004; *Huho*, 2017) and return period (Eq.(2)) (*Weibull*, 1939; *Olatunde and Adejoh*, 2017; *Kalisa et al.*, 2020). The coefficient of variation (CV) (Eq.(3)) (*Huho*, 2017) was also applied to establish variations in annual rainfall among years and counties. It is obtained by dividing the standard deviation by the long-term mean, and it is expressed as a percentage. The second source of data was monthly-scale precipitation data for the three counties from the CRU TS, CRU TS 3.25 datasets for the period 1986–2016 (31 years) (*Harris et al.*, 2020; <https://catalogue.ceda.ac.uk/uuid/c311c7948e8a47b299f8f9c7ae6cb9af>) which has a high spatial resolution of 0.5° and covers the period 1901–2016. Our study used 31 years to compute drought characterization as the time period recommended by *WMO* (2012) and deemed applicable in comparison with investigation from in-situ available data (1990–2018). In the present study, computation of SPI indices on monthly scales from the three counties was done using codes developed from the R program which was a suitable statistical analysis.

$$P_x = \frac{r-0.44}{n+0.12} * 100 , \quad (1)$$

where P_x is the probability exceedance, n is the number of years, while r is a rank.

$$T = \frac{n+1}{m} , \quad (2)$$

where T refers to the return period in years, n is the total number of the values, and m is the rank value assigned to rainfall amount in an order from 1 to n (number of observation) (*Olatunde and Adejoh*, 2017).

$$CV = \frac{\sigma}{\bar{x}} * 100 , \quad (3)$$

where CV is the coefficient of Variation, \bar{x} and σ refer to the mean and standard deviation of precipitation, respectively.

$$SPI = \frac{x-\bar{x}}{\sigma} , \quad (4)$$

where x is precipitation for the period under study.

An *SPI* formula (Eq.(4)) for drought computation based on the precipitation probability was developed by *McKee et al.* (1993) and *Edwards and McKee* (1997) to study departures of precipitation from the long-term mean. It has received a wide range of applications globally (*Vicente-Serrano*, 2006; *Vicente-Serrano et al.*, 2010, 2012; *Guenang and Kamga*, 2014; *Karanja et al.*, 2017). It was used to analyze and characterize droughts of various severities in the study area. This *SPI* (*Tables 1* and *2*) drought index has been widely used and recommended over recent years to characterize and compare droughts spatio-temporarily (*Kumar et al.*, 2010; *Vicente-Serrano et al.*, 2010; *Karanja et al.*, 2017). From *Tables 1* and *2*, dry spells and meteorological drought were considered to have occurred when the *SPI* value was negative, and their absence was indicated by positive values. Droughts and dry spells were categorized as mild when the *SPI* value ranged from 0 to -0.99 ; moderate when values were from -1.0 to -1.49 ; severe when the value range was from -1.5 to -1.99 ; and extreme when the value range was from -2.00 and below. The index is usually negative for drought presence and positive for wet conditions. As the dry or wet conditions become more severe, the index becomes more negative or positive (<https://climate.copernicus.eu/about-data-and-analysis>).

Table 1. *SPI* values

≥ 2.00	Extremely wet
1.50 to 1.99	Very wet
1.00 to 1.49	Moderately wet
-0.99 to 0.99	Near normal
-1.00 to -1.49	Moderately dry
-1.50 to -1.99	Severely dry
≤ -2.00	Extremely dry

Sources: *Lloyd-Hughes and Saunders* (2002), *WMO* (2012).

3.1. Importance and preference of *SPI* analysis in Kenya

SPI is one of the most mentioned drought indicators in many previous studies in the world (*Kchouk, et al.*, 2021), especially so in East Africa and the Horn of Africa. In Australia-Oceania, the Middle East, the North Africa (MENA), and the Sub-Saharan Africa (SSA), there are fewer studies which have used hydrological indices. As indicated by *WMO* (2012), *SPI* is a widely applied index for drought detection on a different scale (i.e., 1, 3, 6, 9, 12 months) mostly due to its versatility to space and time as well as climatic conditions. This study used total annual precipitation data (12 months) to compute *SPI* and gridded monthly scale data from CRU TS. Various studies have used combined drought index (CDI) (*Mutua and Balint*, 2009; *Sepulcre-*

Canto et al., 2012; *WMO*, 2012; *Shilenje et al.*, 2019), because more variables are available (temperature and vegetation). *SPI* is also widely used in India due to its adaptability, conformity to various time scales (*Shah et al.*, 2015; *Nandargi and Aman*, 2017), and different climatic conditions, and in Australia (*Abawi et al.*, 2003) and Mexico (*Giddings et al.*, 2005) to characterize drought of various magnitudes and intensities. It has been intensely used in Kenya for drought characterization in Laikipia county and Tana River county (*Huho and Mugalavai*, 2010; *Ngaina et al.*, 2014); Turkana county, *Opiyo, et al.* (2014) ; Laikipia county *Karanja et al.* (2017); and Makueni County *Musyimi et al.* (2018). The index is reliable to address droughts at multiple time durations for a wide range of climatic regions over the world (*Zhai et al.*, 2010; *Stricevic et al.*, 2011).

3.2. Limitations of *SPI*

SPI computation has various limitations, for instance its inability to consider and account for water deficit triggered by other processes such as evapotranspiration, deep infiltration, soil moisture content availability, and recharging abilities as well as surface runoff (*Onyango*, 2014). Better performance of the indices requires a couple of factors that influence water availability and deficiency such as the Palmer drought index. Data unavailability renders the use of other indices futile. Based on this, *Ntale and Gan* (2003) established that *SPI* is the most appropriate after comparing it with the Palmer drought severity index (PDSI) and Bhalme–Mooley drought index (BDI) in monitoring drought over East Africa. The probabilistic nature of the *SPI* is also a noted limitation as stated by *Agnew* (2000). Though *SPI* computation considers rainfall as the only attribute for drought characterizing, droughts need a couple of other climate parameters. They include but are not limited to soil moisture, surface runoff, and evapotranspiration. Further, in relation to different data sources, it is a challenge to compute *SPI* from long-term in-situ data from Kenya, because most weather stations do not have reliable data and so available data as well as other gridded data sources are hardly available.

4. Results and discussion

4.1. Precipitation coefficient variation (in-situ data)

The amount of precipitation received in each area varies in space and time in different climatic regions across the world (*Huho*, 2017; *Kalisa et al.*, 2020). According to *Achite et al.* (2021), the coefficient of variation (*CV*) statistically measures the difference between the data values and the long-term mean value of a certain series of data. High values of *CV* indicate higher variability. In Kenya, the variation occurs mostly in the arid and semi-arid counties, which cover 89% of Kenya's total land mass (29 out of 47 counties are classified as arid or semi-arid) (*Akuja and Kandagor*, 2019). The lower eastern counties form part of the

arid and semi-arid counties in Kenya hence the study. Purposively, *CV* was computed to establish annual precipitation variations in the lower eastern counties. Machakos station had a computed *CV* of 29%. This implies that annual precipitation varied by $\pm 29\%$ from its long-term average of 679 mm. Voi meteorological station had a *CV* of 34% an implication of $\pm 34\%$ from the long-term average of 568 mm. Makindu station recorded a *CV* of 37% indicating that precipitation varied by $\pm 37\%$ from the long-term average of 521 mm of the period under study. This implies that the annual precipitation reliability agriculturally was more suited for farmers in Machakos which had the smallest value of *CV* compared to the other stations in the other counties. Similar *CV* values have been recorded in previous studies in India, Africa, and Kenya (Kisaka *et al.*, 2015; Arvind *et al.*, 2017; Muthoni *et al.*, 2019; Achite, *et al.*, 2021). This is fundamental in guiding farmers in agricultural decision-making on the nature and variety of crops to plant each year. In addition to this, analysis of the spatial distribution of the *CV* is vital for early warning, preparedness, and understanding of the likelihood of extreme events occurrence (Achite *et al.*, 2021). Regions that experience higher interannual variability in precipitation are highly likely to face extreme floods and severe droughts (Halifa-Martin *et al.*, 2021).

4.2. Drought characterization and dry spell analysis from annual (in-situ) data

Drought is a recurrent phenomenon in most arid and semi-arid counties of Kenya as suggested by previous studies (Huho and Mugalavai, 2010; Opiyo *et al.*, 2015; Karanja *et al.*, 2017). Increased temperatures and precipitation variability are expected to worsen droughts as stated by Schilling *et al.* (2014) in their study from Turkana county. From this study, analysis shows that droughts ranging from mild, to severe and extreme, were experienced between 1991 and 2018 in the studied counties. Extreme drought was experienced in 2016 in Machakos county. Severe droughts occurred in 2003 and 2005 in Taita-Taveta county running from the year 2016 to 2017 (2 years). Makueni county experienced a 2-year run of severe drought from the year 2005 to 2006. Similar extreme droughts occurred in 2000, 2008, and 2009 in several counties of Kenya (Opiyo *et al.*, 2015). Droughts of varied frequencies were experienced across the counties, where moderate/mild droughts were predominant for the whole period, 1990–2018 (Table 2). Mild droughts of varying duration and frequencies were observed from the dataset of the Kenya Meteorological Department, for instance, in Machakos county, where a 1-year drought was experienced in 2017, and 2-year droughts were experienced from 1991 to 1992 and 1995 to 1996. 3-year droughts occurred in runs from 1999 to 2001, 2003 to 2005, 2007 to 2009, and a 4-year drought period from 2011 to 2014. Similar mild drought occurrence was evident in Taita-Taveta county (Voi station) a 3-year drought that occurred from 1999 to 2001 and Makueni County (Makindu station) from the year 2002 to 2003. More than 52% (15 years) of the droughts that occurred in the counties were spatially widespread. Similar

observations were made in Hungary by *Mohammed and Harsányi (2019)*, who noted that Békéscsaba, Budapest, and Miskolc stations experienced 3-year drought events while Pápa and Siófok experienced 2-year and 5-year drought events, respectively, from 1985 to 2015.

Table 2. Frequencies (weighting by the length) of drought events in extreme, severe, and moderate /mild categories for SPI (1990–2018) (Annual droughts) Data source: Kenya Meterological Department.

County	Station	Drought category	Number of droughts	Total
Machakos	Machakos	Extreme	1	19
		Severe	0	
		Moderate/mild	18	
Makueni	Makindu	Extreme	0	18
		Severe	2	
		Moderate/mild	16	
Taita Taveta	Voi	Extreme	0	15
		Severe	4	
		Moderate/mild	11	

4.3. Probability of exceedance and return period for precipitation (in-situ data)

Probability of exceedance refers to the likelihood that the actual rainfall during a period will be equal to the estimated expected rainfall amount each year or might exceed in each period with a specific probability (*Raes, 2004*). The maximum annual amounts observed in the three stations were 1155 mm in 2006 at Machakos, 1118 mm in 1998 at Voi, and 991 mm in 1998 at Makindu. The probability of exceedance of precipitation for the three stations was 0.02. This result implies that it has a probability of 2% of occurrence in any given year for the three stations from the three counties of the available in-situ data. This also means that the amount of precipitation is likely to occur 2 times every 98 years, and it has a probability to re-occur (return period) once every 30 years. These observations are in tandem with *Olatunde and Adejoh (2017)*, who indicated a return period of annual rainfall amount in 36 years in Lokoja, central North Nigeria. The lowest precipitation amount was received in the year 2016 with an amount of 281 mm from Machakos. Voi station recorded its lowest amount of precipitation in the year 2003 amounting to 210 mm, while Makindu had the lowest precipitation amount of 155 mm in the year 2005. The annual probability exceedance was 0.98, which meant it has a 98% probability to be equal to or exceeding in any year for the three counties. The computed values (return periods

and exceedance probabilities) for precipitation amount indicated that 19 years (66%) of 29 years under study had precipitation amounts below the long-term mean of 679 mm at Machakos station, Machakos county. From Voi station, Taita-Taveta county, 15 years (52%) had precipitation below the long-term mean of 568 mm, while at Makindu station, Makueni county, 18 years (62%) had precipitation below the long-term mean of 521 mm. These results imply droughts of varied frequencies and severities, whereby moderate /mild droughts were predominant for the whole period, 1990–2018 across the three counties (*Table 2*).

4.4. Drought characterization based on different time scales of SPI from CRU dataset, 1986–2016

Based on a 3-month scale, the results indicate high drought frequency oscillations (*Fig. 3a*). This is attributed to the shortest time of analysis in which precipitation, the analyzed parameter, depicted changes at a higher speed (*Kimaru et al., 2019; Rascón et al., 2021; Wang et al., 2022*). The behavioral pattern of the 3-month SPI indices followed a similar pattern. The 3-month SPI represents short- and medium-term moisture conditions, as well as seasonal precipitation estimates and implies the accumulation of consecutive periods of three months of drought indication (*WMO, 2012*). The values ranged from –3.3 in Taita-Taveta to 3.1 in both Machakos and Makueni counties (*Table 3*). Mild to moderate drought events were more frequent in the three counties, but severe and extreme droughts interspersed throughout 31 years across the counties. The 3-month SPI indicates immediate effects of soil moisture reduction as stated by the *WMO (2012)* and Copernicus European Drought Observatory (*EDO, 2020*).

Table 3. Statistical summary of the SPI indices at different time scales from the three counties

County	Index-time scale	Mean (\bar{x})	SD(σ)	Minimum	Maximum
Machakos	SPI-3 months	0.003	0.99	–3.1	3.0
Makueni		0.004	0.99	–3.3	3.1
Taita Taveta		0.005	0.99	–3.1	2.8
Machakos	SPI-6 months	0.005	0.98	–2.6	2.5
Makueni		0.007	0.97	–2.4	2.1
Taita taveta		0.004	0.98	–2.8	2.7
Machakos	SPI-9 months	0.006	0.97	–2.5	2.7
Makueni		0.008	0.95	–2.2	2.3
Taita Taveta		0.004	0.98	–2.9	2.9
Machakos	SPI-12 months	0.005	0.97	–2.5	2.7
Makueni		0.007	0.96	–2.2	2.3
Taita taveta		0.003	0.98	–2.6	2.6

The *SPI* values computed on a 6-month time scale oscillated between -2.6 and 2.5 in Machakos county, -2.4 and 2.1 in Makueni county, and -2.8 and 2.7 in Taita-Taveta county (*Fig. 3b*). 6-month *SPI* can be quite useful for displaying precipitation over several seasons. From the 6-month time scale, the severities were less compared to the 3-month time scale. Results also indicated that drought frequency in the 3- and 6-month time scales was high, and the durations were shorter conforming with a study by *Kalisa et al.*, (2020) who noted similar observations in East Africa. More variability was observed from the analysis across the counties, depicting short duration and higher frequency of droughts over years. Contrary to this, on longer time scales, a decrease in variability is observed and the frequency of droughts is less but the drought duration becomes long (*Avilés et al.*, 2015). This was the observation for the 9- and 12-month *SPI* indices and longer duration as well as stability (*Figs. 3c-d*). This is caused by slowing variation of index values.

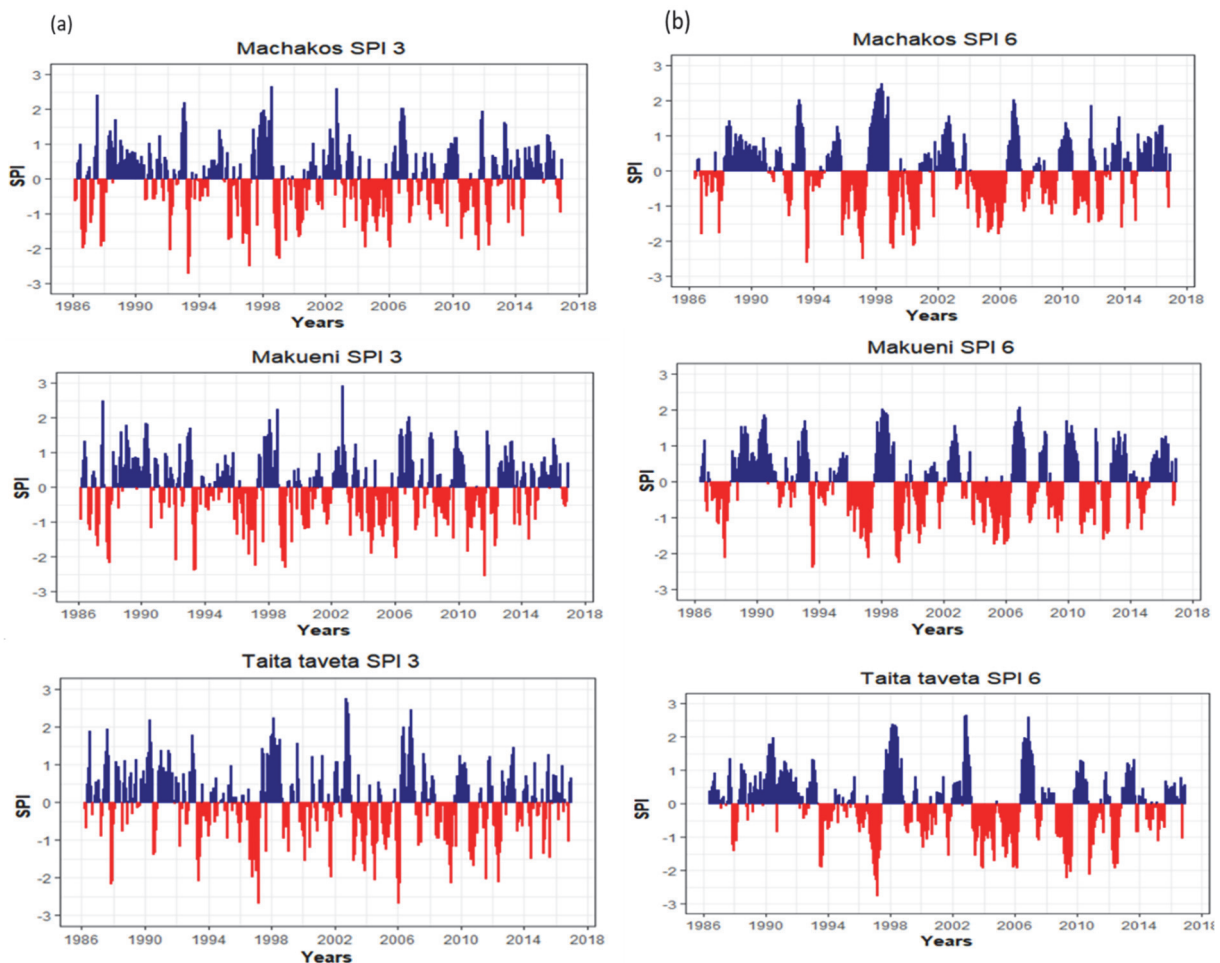


Fig. 3. 3-month *SPI* (a) and 6-month *SPI* (b) for the 1986–2016 period with mild, moderate, severe, and extreme drought severity bands as well as wet events.

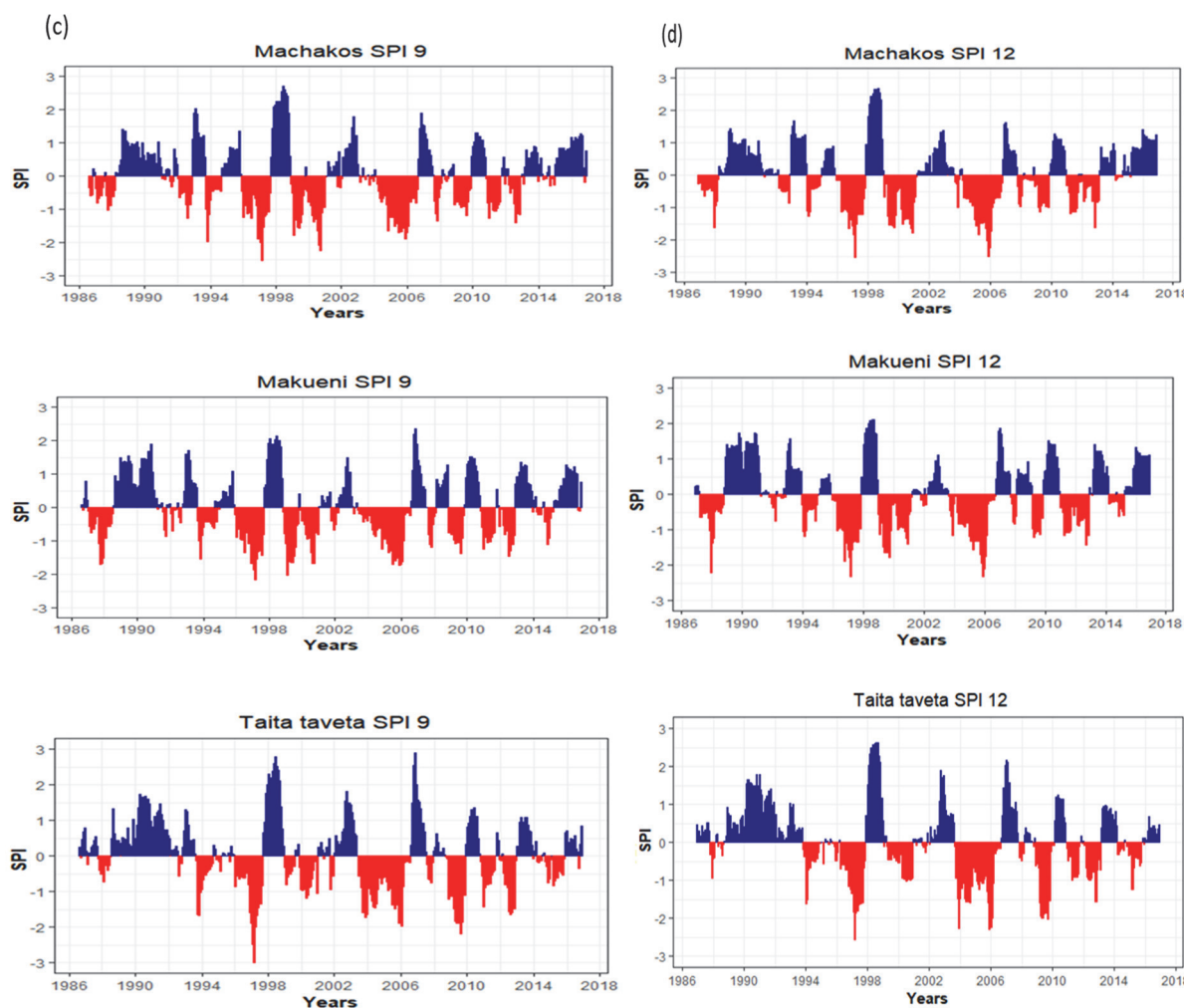


Fig. 3 (continued). 9-month *SPI* (c) and 12-month *SPI* (d) for the 1986–2016 period with mild, moderate, severe, and extreme drought severity bands as well as wet events.

The 9-month *SPI* values ranged between -2.5 and 2.7 in Machakos county, -2.2 and 2.3 in Makueni county, and -2.9 and 2.9 in Taita Taveta county. From these time scales, the *SPI* represents long-term precipitation trends. The 12-month indices were similar to the 9-month time scales for Machakos and Makueni counties, while Taita Taveta county *SPI* values ranged from -2.6 to 2.6 (Table 3). Droughts were more intense for the three counties between 2004 and 2005 on 9-month and 12-month time scales (Fig. 3). This was because as indicated earlier when the timescales are longer (9 and/or 12 months), the *SPI* values respond very slowly to changes in climate variables making the drought events less frequent but more long-lasting and, in some cases, more intense according to Castillo-Castillo *et al.*, (2017). A study by WMO (2012) and EDO (2020) indicated that

SPI analysis for a longer duration, e.g., 12 months and above is a good measure for observing reduced water levels in reservoirs as well as recharging of groundwater. There existed noticeable and considerable variation in the intensity, duration, and occurrence of drought and wet episodes among the three counties (*Fig. 3*). A study by *Ahmad et al. (2016)* indicated that the variation in intensity is caused by seasonal rainfall data, and *SPI* is influenced by the amount of rainfall received in an area. In our study, the three counties experience two rainy seasons, a long-term (MAM) and a short-term (OND). This corroborates with *Kalisa et al. (2021)*, who indicated that droughts vary in duration, severity, and magnitude from one region to another and through various decades.

4.5. Comparison of the annual and the 12-month *SPI* from the two datasets

SPI computation using annual precipitation and monthly scale precipitation data exhibited a major difference in quantifying drought and dry spell characteristics in seasonal intensity, seasonal frequency, and seasonal duration as observed. This depicts seasonal precipitation variation and identifies the driest and wettest seasons (*Hänsel et al., 2019*), which is essential for water and agricultural planning. Results from *Fig. 3d* indicated the frequency, duration, and intensity of droughts on a 12-month scale, which was difficult to realize when annual precipitation data was used to compute *SPI* (*Fig. 4*). However, annual *SPI* computation can depict annual drought severity and year runs of brought consecutive droughts, 3-year droughts, and 4-year drought events (*Fig. 4*). This can be achieved using a 12-month time scale as well as by observing the intensity of consecutive months across the years as depicted in *Fig. 3d*. The intensities of alternating droughts, dry spells, and wet episodes are higher on minimal and shortened monthly scales. As it is indicated by *Liu and Liu (2019)* and *Rascón et al. (2021)*, *SPI* computation uses precipitation, which is the reason behind the intensity of such alternating dry and wet episodes.

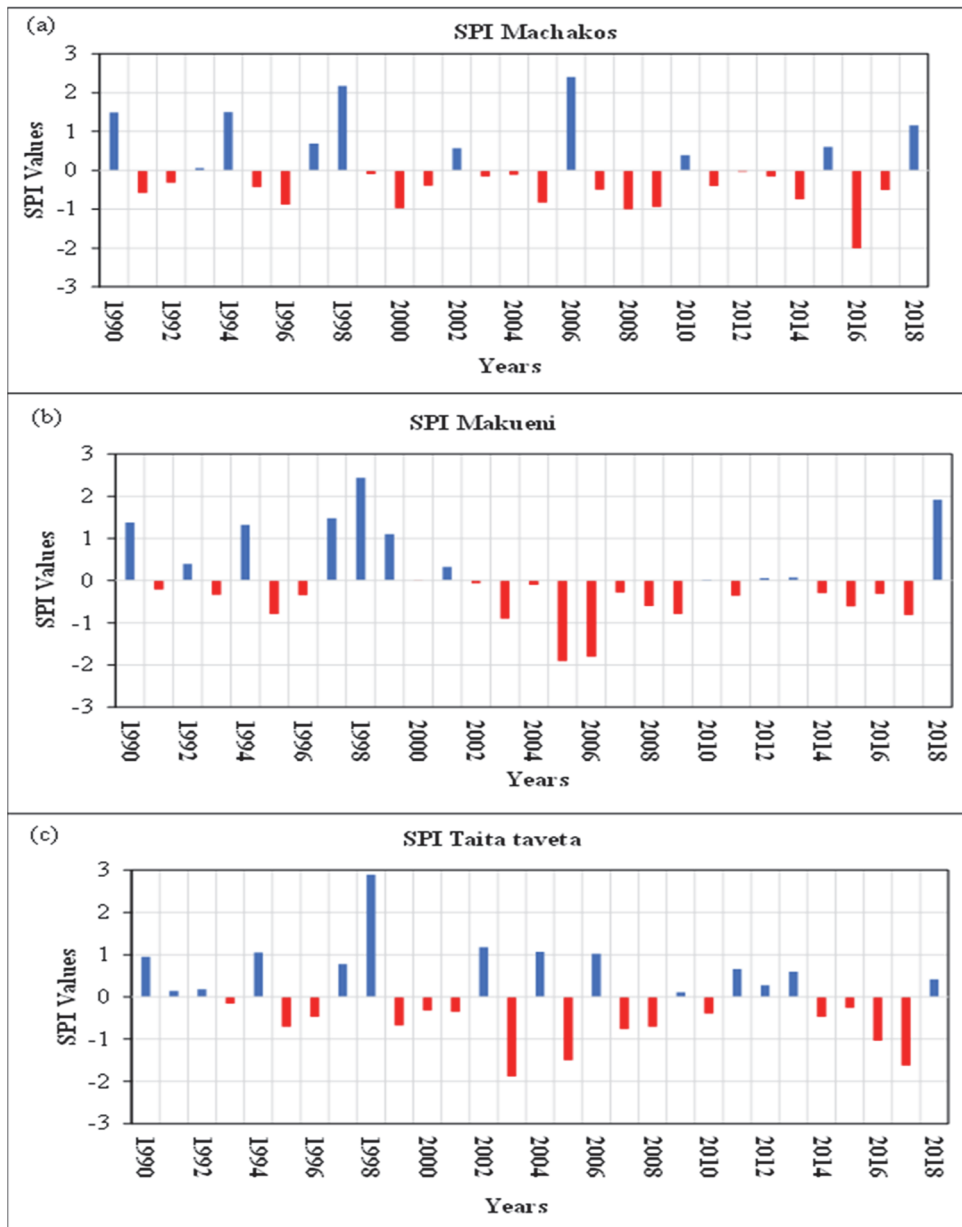


Fig. 4. Annual SPI values for Machakos (a), Makueni (b), and Taita-Taveta (c) counties for in-situ data from 1990 to 2018 with mild, moderate, severe, and extreme drought severity bands as well as wet episodes among years. Source of dataset: Kenya Meteorological Department.

5. Conclusions

This study analyzed monthly (3, 6, 9, and 12) SPI values using Climatic Research Unit Time-series (CRU TS), CRU TS 3.25 datasets for the period 1986–2016 (31 years) as well as annual SPI values using in-situ data for the period 1990–2018 in arid and semi-arid counties of Kenya. The results demonstrate the ability to use precipitation as a climate parameter to compare dry spells and events as well as to characterize droughts in cases of limited and /or scarcity of data. The

intensity, duration, and frequency of droughts varied in different counties and regions due to the variation of precipitation received. From the results and figures of the two datasets, it can be stated that droughts of varying intensities and severities were more predominant than wet events across the three counties. From the in-situ data, an extreme drought took place in Machakos county in 2016, Makueni county experienced a 2-year run of severe drought from 2005 to 2006, while Taita-Taveta county experienced 2-year runs of severe droughts from 2016 to 2017. From the Climatic Research Unit Time-series (CRU TS), the frequency and intensity of droughts were observed and became more noticeable on the 9-month and 12-month time scales. Given the highly enormous impact of droughts in the agricultural and water sectors in Kenya and the Horn of Africa, which are fundamental for the bulging population, *SPI* analysis proves a crucial decision-making tool for the counties and the central government, agricultural and water organizations to ensure timely monitoring and adoption of mitigation measures as well as formulation of short-term (seasonal, 1-, 3-, 6-, 9-month-long) and long-time (more than 12 months) management procedures. Due to the flexibility of *SPI*, it is crucial in applications related to both short-term agricultural planning using monthly-based *SPI* frequency and long-term hydrological management using annual-based *SPI* frequency. This is fundamental for future climate variability preparedness in prone and drought risk counties, and for resilience planning in Kenya.

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